

Docket No.

111788-00101

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



#7
BT
2-26-02

IN RE APPLICATION OF: William M. Johns et al

SERIAL NO: 09/765,431 (Conf. No. 9036)

FILED: January 22, 2001

GAU:

2661

EXAMINER:

TBA

FOR: System and method for continuous monitoring and measurement of performance of computers on network

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

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SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a copy of applicant's pending application(s) or issued patent(s) which may be related to the present application. These documents are listed on form PTO-1449, also attached.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☒ Each item of information contained in this information disclosure statement was cited for the first time in any communication from a foreign patent office in any counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited for the first time in any communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.
- ☐ This Information Disclosure Statement is being filed within three months of the filing date of the subject patent application.
- ☐ This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits.

PETITION

- ☐ Applicant(s) hereby request consideration of the attached information. A check is attached in the amount of the Petition fee required under 37 CFR §1.17(i)(1).

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 23-2185. A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

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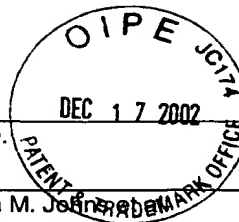
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PATENT TRADEMARK OFFICE

Date: December 17, 2002

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Form PTO 1449
(Modified)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.
111788-00101

SERIAL NO.
09/765,431 (Conf. No. 9036)

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT William M. Johnson

FILING DATE
January 22, 2001

GROUP
2661

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOC. NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE IF APPROPRIATE |
|---------------------|----|--------------|--------|---------------|-------|--------------|-------------------------------|
| | AA | 5,812,529 | 9/1998 | Czarnik et al | 370 | 245 | |
| | AB | 6,070,190 | 5/2000 | Reps et al | 709 | 224 | |
| | AC | 6,449,739 B1 | 9/2002 | Landan | 714 | 47 | 1/2000 |
| | AD | | | | | | |
| | AE | | | | | | |
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FOREIGN PATENT DOCUMENTS

| | | DOC. NUMBER | DATE | COUNTRY | TRANSLATION | |
|--|----|-------------|------|---------|--------------------------|--------------------------|
| | | | | | YES | NO |
| | AO | | | | <input type="checkbox"/> | <input type="checkbox"/> |
| | AP | | | | <input type="checkbox"/> | <input type="checkbox"/> |
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| | AU | | | | <input type="checkbox"/> | <input type="checkbox"/> |
| | AV | | | | <input type="checkbox"/> | <input type="checkbox"/> |

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

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| | AW | |
| | AX | |
| | AY | |
| | AZ | |

Examiner

Date Considered

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.